On Positive TAGED

with a

Bounded Number of Constraints

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Introduction & Preliminaries

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[Comon et al., 2008a]

Introduced in the fifties; regular tree languages:

- model-checking: programs, protocols,...
- automated theorem-proving
- XML schema and (esp. variants) query languages
- ... and so much more

Doesn't deal with comparisons and non-linearity:

• { f(u, u) | $u \in \mathcal{T}(\Sigma)$ }

- { $f(u, v) \mid u, v \in \mathcal{T}(\Sigma), u \neq v$ }
- $\Re(\ell)$, ℓ regular, \Re a TRS

e.g. password verification e.g. primary keys e.g. { $g(x) \rightarrow f(x, x)$ }(T(A)) IntroductionExpressivityMembershipRigidificationEmptinessFinitenessConclusions000000000000000000000000000000000

Tree Automata

Bottom-Up, Non-Deterministic, Finite

Tree Automaton $\mathcal{A} = \langle \mathbb{A}, Q, F, \Delta \rangle$:

F

A finite ranked alphabet

- Q finite set of **states**
 - final states, $F \subseteq Q$
- Δ finite set of **transitions**

Transition $r \in \Delta$: $\sigma(q_1, \dots, q_n) \to q \qquad \sigma \in \mathbb{A}_n \quad q_1, \dots, q_n, q \in Q$

TAGE⁺ with Bounded Constraints

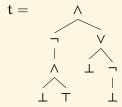
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Tree Automata

Bottom-Up, Non-Deterministic, Finite

$$\begin{aligned} \mathbb{A} &= \{ \wedge, \vee/_2, \neg/_1, \top, \perp/_0 \}, \ \mathbb{Q} = \{ q_0, q_1 \}, \ \mathbb{F} = \{ q_1 \}, \ \Delta = \\ \left\{ \begin{array}{c} \top \to q_1, \quad \perp \to q_0, \quad \neg(q_b) \to q_{\neg b} \\ \wedge(q_b, q_{b'}) \to q_{b \wedge b'}, \quad \vee(q_b, q_{b'}) \to q_{b \vee b'} \end{array} \middle| \ b, b' \in \{ 0, 1 \} \right\} \end{aligned}$$



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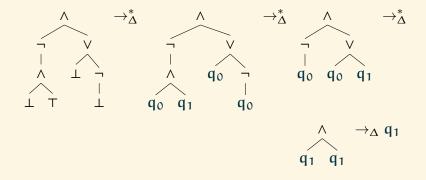
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Tree Automata

Bottom-Up, Non-Deterministic, Finite

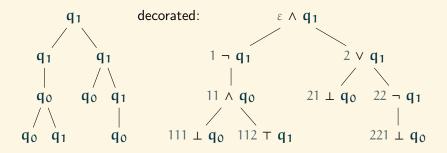


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The reduction $t \rightarrow^*_{\Delta} q_1$ is captured by the **run**:



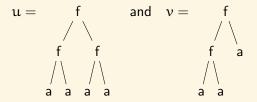
Constraint $\mathbf{p} \cong \mathbf{q}$:

run ρ of A on t:

• run of $ta(\mathcal{A})$ on t

• satisfying \cong : $\forall \alpha, \beta \in \mathcal{P}(t); \ \rho(\alpha) \cong \rho(\beta) \Rightarrow t|_{\alpha} = t|_{\beta}$ accepting run: accepting for $ta(\mathcal{A})$ Tree Automata With Global Equality Constraints [Filiot et al., 2008]

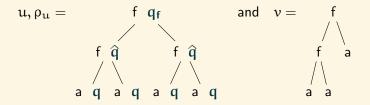
$$\begin{split} \mathbb{A} &= \{ \, \mathfrak{a}/_0, \mathfrak{f}/_2 \, \}, \; Q = \{ \, q, \hat{q}, \mathfrak{q}_{\mathfrak{f}} \, \}, \; \mathsf{F} = \{ \, q_{\mathfrak{f}} \, \}, \; \hat{q} \cong \hat{q}, \; \mathsf{and} \\ \Delta &= \{ \, \mathfrak{f}(\hat{q}, \hat{q}) \to \mathfrak{q}_{\mathfrak{f}}, \; \mathfrak{f}(\mathfrak{q}, \mathfrak{q}) \to \mathfrak{q}, \; \mathfrak{f}(\mathfrak{q}, \mathfrak{q}) \to \hat{q}, \; \mathfrak{a} \to \mathfrak{q}, \; \mathfrak{a} \to \hat{\mathfrak{q}} \, \} \end{split}$$



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Tree Automata With Global Equality Constraints [Filiot et al., 2008]

$$\begin{split} \mathbb{A} &= \{ \, \mathfrak{a}/_0, \mathfrak{f}/_2 \, \}, \; Q = \{ \, \mathfrak{q}, \hat{\mathfrak{q}}, \mathfrak{q}_{\, \mathfrak{f}} \, \}, \; \mathsf{F} = \{ \, \mathfrak{q}_{\, \mathfrak{f}} \, \}, \; \hat{\mathfrak{q}} \cong \hat{\mathfrak{q}}, \; \mathsf{and} \\ \Delta &= \{ \, \mathfrak{f}(\hat{\mathfrak{q}}, \hat{\mathfrak{q}}) \to \mathfrak{q}_{\, \mathfrak{f}}, \; \mathfrak{f}(\mathfrak{q}, \mathfrak{q}) \to \mathfrak{q}, \; \mathfrak{f}(\mathfrak{q}, \mathfrak{q}) \to \hat{\mathfrak{q}}, \; \mathfrak{a} \to \mathfrak{q}, \; \mathfrak{a} \to \hat{\mathfrak{q}} \, \} \end{split}$$



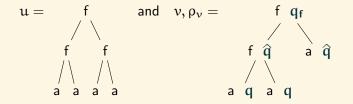
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Tree Automata

With Global Equality Constraints [Filiot et al., 2008]

$$\begin{split} \mathbb{A} &= \{ \, \alpha/_0, f/_2 \, \}, \; Q = \{ \, q, \hat{q}, q_f \, \}, \; \mathsf{F} = \{ \, q_f \, \}, \; \hat{q} \cong \hat{q}, \; \mathsf{and} \\ \Delta &= \{ \, f(\hat{q}, \hat{q}) \to q_f, \; f(q, q) \to q, \; f(q, q) \to \hat{q}, \; \, \alpha \to q, \; \, \alpha \to \hat{q} \end{split}$$

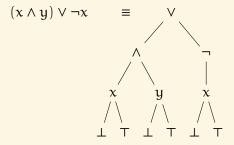


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With Global Equality Constraints [Filiot et al., 2008]

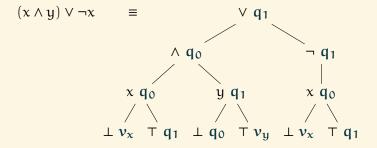
$$\begin{split} &\mathbb{A} = \{\wedge, \vee/_2, \neg/_1, \top, \perp/_0\} \boxplus \mathbb{X}, \ Q = \{q_0, q_1\} \boxplus \{\nu_x \mid x \in \mathbb{X}\} \text{ and } \\ & \mathsf{F} = \{q_1\}, \text{ new rules } \top \to \nu_x, \ \perp \to \nu_x, \ x(q_0, \nu_x) \to q_1, \\ & x(\nu_x, q_1) \to q_0 \text{ for each } x \in \mathbb{X}, \ \nu_x \cong \nu_x. \end{split}$$



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With Global Equality Constraints [Filiot et al., 2008]

$$\begin{split} &\mathbb{A} = \{\wedge, \vee/_2, \neg/_1, \top, \perp/_0\} \uplus \mathbb{X}, \ Q = \{q_0, q_1\} \uplus \{\nu_x \mid x \in \mathbb{X}\} \text{ and } \\ & \mathsf{F} = \{q_1\}, \text{ new rules } \top \to \nu_x, \ \perp \to \nu_x, \ x(q_0, \nu_x) \to q_1, \\ & x(\nu_x, q_1) \to q_0 \text{ for each } x \in \mathbb{X}, \ \nu_x \cong \nu_x. \end{split}$$



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TA versus RTA versus TA⁼

Closure, Complexity and Decidability

	ТА	RTA ($p \cong p$)	TA ⁼
U	РТіме РТіме	PTime ExpTime	PTime ExpTime
7	ExpTime	Ø	Ø
$t \in \mathcal{L}(\mathcal{A})$?	PTIME	NP-c	NP-c ^(a)
$\mathcal{L}(\mathcal{A}) = \emptyset$?	linear-time	linear-time	ExpTime-c
$ \mathcal{L}(\mathcal{A}) \in \mathbb{N}$?	PTIME	PTIME	ExpTime-c
$(\mathcal{A}) = \mathfrak{T}(\Sigma) ?$	$\operatorname{ExpTime-c}$	undecidable	undecidable
$(\mathcal{A}) \subseteq \mathcal{L}(\mathcal{B}) ?$	$\mathrm{ExpTime-c}$	undecidable	undecidable
$(\bigcap_i \mathcal{A}_i) = \emptyset$?	$ExpTime{-}c$	$\mathrm{ExpTime-}c$	$\mathrm{ExpTime-c}$

^(a)SAT solver approach: [Héam et al., 2010].

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TA versus RTA versus TA⁼

Closure, Complexity and Decidability

	ТА	RTA ($p \cong p$)	TA ⁼
	PTime	PTime	PTime
	PTime	ExpTime	ExpTime
	ExpTime	Ø	Ø
$t \in \mathcal{L}(\mathcal{A}) ?$ $\mathcal{L}(\mathcal{A}) = \emptyset ?$	PTIME	NP-c	NP-c ^(a)
	linear-time	linear-time	ExpTime-c
$ \mathcal{L}(\mathcal{A}) \in \mathbb{N} ?$ $(\mathcal{A}) = \mathcal{T}(\Sigma) ?$	PTIME	PTime	ExpTime-c
	ExpTime-c	undecidable	undecidable
$(\mathcal{A}) \subseteq \mathcal{L}(\mathcal{B}) ?$	ExpTime-c	<i>undecidable</i>	<i>undecidable</i>
$(\bigcap_i \mathcal{A}_i) = \emptyset ?$	ExpTime-c	ExpTIME-c	ExpTIME-c

^(a)SAT solver approach: [Héam et al., 2010].

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Restriction on the **kind** of constraints \Rightarrow **lower complexity** (RTA) Restriction on the **number** of constraints \Rightarrow **?**

 $\mathsf{TA}^{=}_{k} \ \mathcal{A} = \langle \Sigma, Q, F, \Delta, \cong \rangle :$

 $\begin{array}{ll} \langle \Sigma, Q, F, \Delta, \cong \rangle & \quad \mathsf{TA}^= \ \mathcal{A} \\ \cong & \quad \mathsf{such that } \mathsf{Card}(\cong) \leqslant k \end{array}$

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Restriction on the **kind** of constraints \Rightarrow **lower complexity** (RTA) Restriction on the **number** of constraints \Rightarrow **?**

 $\mathsf{TA}_k^= \mathcal{A} = \langle \Sigma, Q, F, \Delta, \cong \rangle$:

 $\begin{array}{ll} \langle \Sigma, Q, F, \Delta, \cong \rangle & \quad \mathsf{TA}^= \ \mathcal{A} \\ \cong & \quad \mathsf{such that } \mathsf{Card}(\cong) \leqslant k \end{array}$

Expressive power?

$$TA = TA_0^{=} \subset TA_1^{=} \subset \cdots \subset TA_k^{=} \subset TA_{k+1}^{=} \subset \cdots \subset TA^{=} = \bigcup_{k \in \mathbb{N}} TA_k^{=}$$

so $\forall k \ge 0$, $\mathcal{L}(\mathsf{TA}_k^{=}) \subseteq \mathcal{L}(\mathsf{TA}_{k+1}^{=})$. Are the inclusions **strict**? Up to some rank? Is there a $k \in \mathbb{N}$ such that $\mathcal{L}(\mathsf{TA}_k^{=}) = \mathcal{L}(\mathsf{TA}^{=})$?

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1 Introduction & Preliminaries

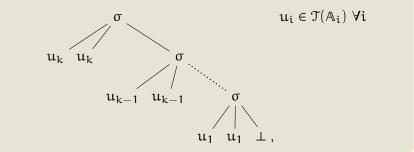
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The Separation Languages $L=(\ell_k)_{k\in\mathbb{N}}$ [Hugot, 2013]

$$\biguplus_{i=1}^{\kappa} \mathbb{A}_{i} \uplus \{ \sigma/_{3}, \perp/_{0} \} \qquad \mathbb{A}_{i} = \{ a_{i}, b_{i}/_{0}, f_{i}, g_{i}/_{2} \}$$

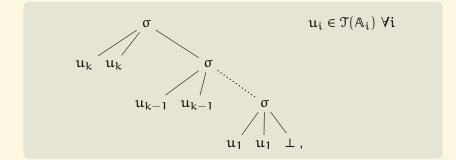
 $\ell_0 = \{ \bot \} \quad \forall k \geqslant 1, \ell_k = \{ \, \sigma(u, u, t_{k-1}) \mid u \in \mathfrak{T}(\mathbb{A}_k), t_{k-1} \in \ell_{k-1} \, \}$



TAGE⁺ with Bounded Constraints







$$\begin{split} \ell_1 &\in \mathcal{L}(\mathsf{TA}_1^=) \setminus \mathcal{L}(\mathsf{TA}) &\approx \text{ground instances of } f(x,x). \\ \ell_k &\in \mathcal{L}(\mathsf{TA}_k^=) \setminus \mathcal{L}(\mathsf{TA}_{k-1}^=), \quad \forall k \geqslant 1. \end{split}$$

Expressivity Expressive Power

Show $\ell_k \in \mathcal{L}(\mathsf{TA}^{=}_{\nu}) \setminus \mathcal{L}(\mathsf{TA}^{=}_{\nu-1})$ [Hugot, 2013]

Show $\ell_k \in \mathcal{L}(\mathsf{TA}_k^=)$: $\mathcal{A}_k \in \mathsf{TA}_k^=$ such that $\mathcal{L}(\mathcal{A}_k) = \ell_k$

 $\mathcal{U}_i \in \mathsf{TA}$ universal, $\mathcal{U}_i: \mathsf{F} = \{q_i^u\}$, for all i. \mathcal{A}_k is

$$\begin{split} &Q = \{q_0^{\mathsf{v}}\} \uplus \biguplus_{i=1}^k \mathcal{U}_i \colon Q \uplus \{q_i^{\mathsf{v}}\} \qquad \mathsf{F} = \{q_1^{\mathsf{v}}\} \qquad q_i^{\mathsf{u}} \cong q_i^{\mathsf{u}}, \; \forall i \in [\![1,k]]\!] \\ &\Delta = \left\{ \left. \sigma(q_i^{\mathsf{u}}, q_i^{\mathsf{u}}, q_{i-1}^{\mathsf{v}}) \to q_i^{\mathsf{v}} \; \middle| \; i \in [\![1,k]]\!] \right\} \cup \left\{ \bot \to q_0^{\mathsf{v}} \right\}. \end{split}$$

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Expressive Power

Show $\ell_k \in \mathcal{L}(\mathsf{TA}_k^=) \setminus \mathcal{L}(\mathsf{TA}_{k-1}^=)$ [Hugot, 2013]

Show $\ell_k \notin \mathcal{L}(\mathsf{TA}_{k-1}^=)$:

Expressivity

active constrained states:

 $\mathsf{acs}\,\rho = \{\,\rho(\alpha) \mid \alpha \in \mathfrak{P}(\rho), \exists \beta \in \mathfrak{P}(\rho) \setminus \{\alpha\} : \rho(\alpha) \cong \rho(\beta)\,\}$

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> Expressive Power Show $\ell_k \in \mathcal{L}(TA_k^=) \setminus \mathcal{L}(TA_{k-1}^=)$ [Hugot, 2013]

Show $\ell_k \notin \mathcal{L}(\mathsf{TA}_{k-1}^=)$:

• Assume $\ell_k \in \mathcal{L}(\mathsf{TA}_{k-1}^=)$ i.e. $\exists \mathcal{A} \in \mathsf{TA}_{k-1}^= : \mathcal{L}(\mathcal{A}) = \ell_k$



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Expressive Power Show $\ell_{k} \in \mathcal{L}(TA^{=}_{k}) \setminus \mathcal{L}(TA^{=}_{k-1})$ [Hugot, 2013]

Show $\ell_k \notin \mathcal{L}(\mathsf{TA}_{k-1}^=)$:

- Assume $\ell_k \in \mathcal{L}(\mathsf{TA}_{k-1}^=)$ i.e. $\exists \mathcal{A} \in \mathsf{TA}_{k-1}^=: \mathcal{L}(\mathcal{A}) = \ell_k$
- $\forall \rho, \ \nexists \alpha, \beta : \alpha \neq \beta, \alpha \in 3^*, \rho(\alpha) \cong \rho(\beta)$

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- $\forall \rho, \ \nexists \alpha, \beta : \alpha \neq \beta, \alpha \in 3^*, \rho(\alpha) \cong \rho(\beta)$
- Pick $t \in \ell_k$ such that $\left|t|_\alpha\right| > |Q|,$ for all $\alpha \in 3^*(1+2)$

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- $\forall \rho, \ \nexists \alpha, \beta : \alpha \neq \beta, \alpha \in 3^*, \rho(\alpha) \cong \rho(\beta)$
- \bullet Pick $t\in\ell_k$ such that $\left|t|_{\alpha}\right|>|Q|,$ for all $\alpha\in 3^*(1+2)$
- Suppose $\exists \alpha \in 3^*(1+2)$ such that $\operatorname{ran} \rho|_{\alpha} \cap \operatorname{acs} \rho = \emptyset$. \mathcal{A} acts as BUTA wrt. $t|_{\alpha}$; pump $\rho|_{\alpha}$, get $t' \notin \ell_k$, but $t' \in \mathcal{L}(\mathcal{A})$.

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- $\forall \alpha \in 3^*(1+2), \ \operatorname{ran} \rho|_{\alpha} \cap \operatorname{acs} \rho \neq \varnothing$

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Expressive Power Show $\ell_k \in \mathcal{L}(TA_k^=) \setminus \mathcal{L}(TA_{k-1}^=)$ [Hugot, 2013]

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- Pick $t \in \ell_k$ such that $\left|t|_{\alpha}\right| > |Q|$, for all $\alpha \in 3^*(1+2)$
- $\forall \alpha \in 3^*(1+2), \ ran \rho|_{\alpha} \cap acs \rho \neq \emptyset$
- $i \neq j$, p_i acs for u_i , p_j for u_j . $\exists acs q_i, q_j : p_i \cong q_i, p_j \cong q_j$. Suppose q_i in subrun of u_j . Then $\exists s_i \trianglelefteq u_i, s_j \trianglelefteq u_j, s_i = s_j$. But $u_i \in \mathcal{T}(A_i)$ and $u_j \in \mathcal{T}(A_j)$, thus $s_i \in \mathcal{T}(A_i)$ and $s_j \in \mathcal{T}(A_j)$. $\mathcal{T}(A_i) \cap \mathcal{T}(A_j) = \emptyset$, thus $s_i = s_j \in \emptyset$.

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Expressive Power Show $\ell_k \in \mathcal{L}(TA_k^=) \setminus \mathcal{L}(TA_{k-1}^=)$ [Hugot, 2013]

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- $\forall \rho, \ \nexists \alpha, \beta : \alpha \neq \beta, \alpha \in 3^*, \rho(\alpha) \cong \rho(\beta)$
- Pick $t \in \ell_k$ such that $\left|t|_\alpha\right| > |Q|,$ for all $\alpha \in 3^*(1+2)$
- $\forall \alpha \in 3^*(1+2), \ \operatorname{ran} \rho|_{\alpha} \cap \operatorname{acs} \rho \neq \varnothing$
- \bullet Each pair of u_i needs its own fresh state(s) $p_i \,{\simeq}\, q_i$

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- \bullet Each pair of u_i needs its own fresh state(s) $p_i \,{\simeq}\, q_i$
- \mathcal{A} does not exist, contradiction.

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General Idea & Strategy

Membership complexity : $t \in \mathcal{L}(\mathcal{A})$?

Proof **Strategy** :

- Choose each $P \subseteq dom \cong \{ p \mid \exists q : p \cong q \text{ or } q \cong p \}$
- \bullet Given P, turn \cong into an equivalence relation \asymp_P
- Try all possible "housings" of the \cong -classes into t
- For each housing, try to build an accepting run

(but we can pretend it is)

Example: Given $p \cong r$ and $r \cong q$, what of $p \cong q$?

Does r actually appear in the run ?

yes: $p \cong q$ implied no: $p \cong r$ and $r \cong q$ are moot.

Fix $P \subseteq \text{dom} \cong$. Any run ρ such that $(\operatorname{ran} \rho) \cap (\operatorname{dom} \cong) = P$ is accepting for \mathcal{A} iff it is so for

$$\mathcal{A}_P = \left \wr \mathcal{A} \mid \, \cong \, ee = \left (\cong \, \cap P^2
ight)^=
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angle$$
 ,

symmetric, transitive, reflexive closure under dom($\cong \cap P^2$).

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Groups & Similarity Classes

Groups $\mathbb{G}_{\mathbf{P}}$: set of \cong -equivalence classes (given P)

$$\mathbb{G}_{P} = \frac{\mathsf{dom}(\cong \cap P^{2})}{(\cong \cap P^{2})^{\Xi}} = \frac{\mathsf{dom}(\cong \cap P^{2})}{\asymp_{P}}$$

Similarity Classes S_t of t :

$$\begin{array}{rcl} \forall \alpha, \beta \in \mathcal{P}(t); \ \alpha \sim \beta & \Longleftrightarrow & t|_{\alpha} = t|_{\beta} \\ & \text{classes } \mathbb{S}_t & = & \mathcal{P}(t)/_{\sim} \end{array}$$

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And Their Compatibility with the Constraints

Characterisation of Satisfaction of \cong :

$$\forall G \in \mathbb{G}_P; \exists C_G \in \mathbb{S}_t : \rho^{-1}(G) \subseteq C_G$$

Housings $\mathbb{H}_{\mathbf{P}}^{\mathbf{t}}$ of P within t :

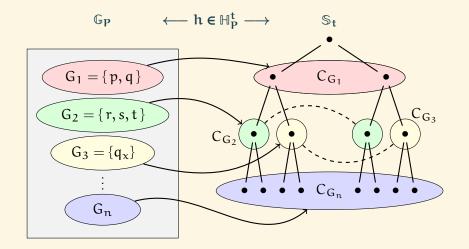
The map $G \mapsto C_G$ is a **P-housing of** ρ **in** t, **compatible** with ρ

$$\mathbb{H}_{\mathsf{P}}^{\mathsf{t}} = \mathbb{G}_{\mathsf{P}} o \mathbb{S}_{\mathsf{t}}$$

is the set of all possible P-housings on t.

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Operations Needed :

- Choose P: 2^{2k} possible $P \subseteq dom \cong$
- Choose housing: $|S_t^{\mathbb{G}_P}| = |S_t|^{|\mathbb{G}_P|} \le ||t||^{2k}$ P-housings on t

•
$$\Rightarrow 4^k \cdot ||t||^{2k}$$
 tests in total

 \hookrightarrow polynomial compatibility test = variant of reachability

Is a final state reachable if states $q \in P$ can only go in $h([q]_{\asymp_P})$?

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Simple variant of reachability algorithm:

Given P and $h \in \mathbb{H}_P^t$, there exists a compatible run iff

 $\Phi^{P,h}_t(\epsilon)\cap F
eq arnothing$,

where

$$\Phi^{P,h}_t(\alpha) = \left\{ \begin{array}{l} q \in Q \\ q \in Q \\ \forall i \in \llbracket 1, n \rrbracket, \ p_i \in \Phi^{P,h}_t(\alpha.i) \\ q \in \bigcup \mathbb{G}_P \implies \alpha \in h([q]_{\asymp P}) \\ q \notin dom(\underline{\cong}) \setminus P \end{array} \right\}$$

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$$\Phi^{P,h}_t(\alpha) = \left\{ \begin{array}{l} q \in Q \\ q \in Q \\ \end{array} \middle| \begin{array}{l} t(\alpha)(p_1,\ldots,p_n) \to q \in \Delta \\ \forall i \in \llbracket 1,n \rrbracket, \ p_i \in \Phi^{P,h}_t(\alpha.i) \\ q \in \bigcup \mathbb{G}_P \implies \alpha \in h(\llbracket q]_{\asymp P}) \\ q \notin dom(\underline{\approx}) \setminus P \end{array} \right\}$$

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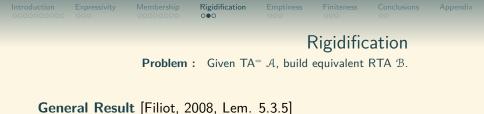
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Exponential construction: $\|\mathcal{B}\| \leq O(2^{\|\mathcal{A}\|^2})$

In the case of $TA_1^=$:

Polynomial construction: $||\mathcal{B}|| \leq O(||\mathcal{A}||^2)$

Idea : Simulate a constraint $p \cong q$, $p \neq q$ by a TA intersection

Rigidification: Construction

$$\mathcal{B} = \mathcal{B}_{\mathbf{p}}^{\neg} \uplus \mathcal{B}_{\mathbf{q}}^{\neg} \uplus \langle \mathcal{A} \mid \mathbf{Q'}, \mathbf{\Delta'}, \mathsf{q}_{\mathbf{f}} \cong \mathsf{q}_{\mathbf{f}} \rangle$$

Rigidification

$$\begin{split} \mathcal{B}_{p}^{\neg} &= \left\{\mathcal{A} \mid Q \setminus \{p\}\right\} & \mathcal{B}_{q}^{\neg} &= \left\{\mathcal{A} \mid Q \setminus \{q\}\right\} \\ Q' &= \left(Q \setminus \{p,q\}\right) \uplus \left(\mathcal{B}_{p\,q} : Q\right) & \Delta' &= \Delta_{p\,q}^{q\,f} \uplus \left(\mathcal{B}_{p\,q} : \Delta\right) \\ \mathcal{B}_{p\,q} &= \mathcal{B}_{p} \otimes \mathcal{B}_{q} & q_{f} &= (p,q) \\ \mathcal{B}_{p} &= \left\{\mathcal{B}_{q}^{\neg} \mid \mathsf{F} := \{p\}, \Delta := \Delta_{p}\right\} & \mathcal{B}_{q} &= \left\{\mathcal{B}_{p}^{\neg} \mid \mathsf{F} := \{q\}, \Delta := \Delta_{q}\right\} \\ \Delta_{p} &= \mathcal{B}_{q}^{\neg} : \Delta \setminus \{\dots p \dots \rightarrow \dots\} & \Delta_{q} &= \mathcal{B}_{p}^{\neg} : \Delta \setminus \{\dots q \dots \rightarrow \dots\} \end{split}$$

 $\Delta_{pq}^{q_f}$ is $\mathcal{A}:\Delta$ from which all left-hand side occurrences of p or q have been replaced by q_f .

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Outline of the Result and Proof

Complexity of **Emptiness** : $\mathcal{L}(\mathcal{A}) = \emptyset$?

PTime (quadratic) for $TA_1^=$ **ExpTime-complete** for $TA_k^=$, $k \ge 2$

 $TA_1^{=}$: immediate by **rigidification**. Emptiness for RTA: linear time $TA_2^{=}$: Reduction of **intersection-emptiness** of n TA A_1, \ldots, A_n . Generalisation of the usual argument [Filiot et al., 2008, Thm. 1] from "unlimited constraints" to "**two constraints**"

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$$\mathbf{L} = \varnothing \iff \bigcap_{i=1}^{n} \mathcal{L}(\mathcal{A}_{i}) = \varnothing$$

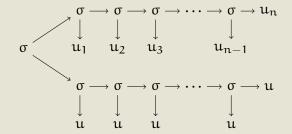


Figure: Reduction of intersection-emptiness: the language.

where $\forall i, x_i \in \mathcal{L}(\mathcal{A}_i)$ and $x = x_i$

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Outline of the Result and Proof

Complexity of **Finiteness** : $|\mathcal{L}(\mathcal{A})| \in \mathbb{N}$?

 $TA_1^=$: immediate by rigidification. Finiteness for RTA is PTIME

 $TA_2^=$: Reduction of **Emptiness for TA_2^=**.

Finiteness

Outline of the Result and Proof

$$\begin{split} \mathcal{A}' = \left\{ \begin{array}{l} \mathcal{A} \mid Q \uplus \{p\}, \mathsf{F} := \{p\}, \Sigma \uplus \{\sigma/_1\}, \Delta' \right\} \\ \text{where } \Delta' = \Delta \cup \left\{ \begin{array}{l} \sigma(q_f) \to p \mid q_f \in \mathsf{F} \right\} \cup \left\{ \begin{array}{l} \sigma(p) \to p \end{array} \right\} \end{split}$$

$$\begin{array}{ll} \text{if } \mathcal{L}\left(\mathcal{A}\right) = \varnothing & \text{then} & \mathcal{L}\left(\mathcal{A}'\right) = \varnothing \\ \text{if } t \in \mathcal{L}(\mathcal{A}) & \text{then} & \sigma^+(t) \subseteq \mathcal{L}(\mathcal{A}') \end{array}$$

$\mathcal{L}(\mathcal{A}')$ is finite $\iff \mathcal{L}(\mathcal{A})$ is empty

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Refined complexity and expressiveness results:

- Expressiveness: TA⁼_k form a strict hierarchy
- Membership: NP-c for TA⁼, but PTIME for TA⁼_k, $\forall k$
- Emptiness: quadratic for $TA_1^=$, EXPTIME-complete for $TA_2^=$
- Finiteness: PTIME for $TA_1^=$, ExPTIME-complete for $TA_2^=$

Left to do:

Effects of $\not\cong$, flat constraints, efficient heuristics, etcetera.



Brief survey: [Hugot, 2013]

Positional constraints:

• **TALEDC** [Mongy, 1981]: local equality and disequality constraints. $f(q_1, q_2, q_3)[13 \approx 2, 12 \not\approx 13] \rightarrow q$.



Brief survey: [Hugot, 2013]

- **TALEDC** [Mongy, 1981]: local equality and disequality constraints. $f(q_1, q_2, q_3)[13 \approx 2, 12 \not\approx 13] \rightarrow q$.
- TAPLEDC [Comon et al., 2008a, Chap. 4, as AWEDC]: propositional extension. C := β ≊ γ | β ≇ γ | C ∧ C | ¬C.



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- **TABB** [Bogaert and Tison, 1992]: restriction to constraints between brothers: $\beta \{\cong, \not\cong\} \gamma$ such that $|\beta| = |\gamma| = 1$.



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- **RA** [Dauchet et al., 1995]: bounds equality constraints along any leaf-to-root path of execution.



Brief survey: [Hugot, 2013]

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- **GRA** [Caron et al., 1994]: relaxes RA, but only where constraints between brothers are involved.



Brief survey: [Hugot, 2013]

Global constraints:

• TAGED [Filiot et al., 2008, Filiot et al., 2010, Filiot, 2008].



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- Parick+E, NParick+EDB [Barguñó et al., 2010]:

$$\sum_{q\in Q} a_q |q| \geqslant b \quad \text{or} \quad \sum_{q\in Q} a_q \|q\| \geqslant b \quad \text{with } a_q, b\in \mathbb{Z}, \forall q\in Q \;.$$



Brief survey: [Hugot, 2013]

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• DAGA [Charatonik, 1999]: equivalent to TAGD.



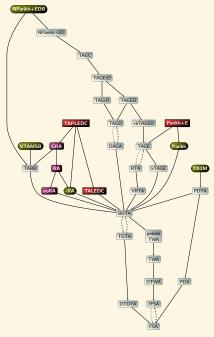
Brief survey: [Hugot, 2013]

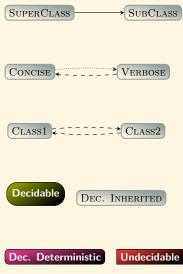
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- DAGA [Charatonik, 1999]: equivalent to TAGD.
- **TA1M** [Comon and Cortier, 2005, Comon et al., 2008b]: one tree memory generalises pushdown automata.







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